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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hal V. Kauffeldt, et al.

Serial No.:

09/544,662

RECEIVED

Filed:

April 6, 2000

APR 08 2002

Group No.:

2662

Technology Center 2600

Examiner:

Unknown

Title:

METHOD AND APPARATUS FOR OPTICAL
CHANNEL SWITCHING IN AN OPTICAL
ADD/DROP MULTIPLEXER

Assistant Commissioner
for Patents
Washington, D.C. 20231

I hereby certify that this correspondence, with enclosures, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date shown below.

Name: Judy Baggett
Date: 3/26/2002

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the provisions of 37 C.F.R. § 1.56, 1.97 and 1.98, Applicants are enclosing copies of several documents which may be material to examination of the present application.

More specifically, Applicants are submitting a copy of a PCT Search Report (PCT/ISA/220 and 210), which was issued by the European Patent Office (EPO) in a corresponding PCT application. This PCT Search Report was mailed on March 19,

2002. The PCT Search Report cites four references, and a copy of each is enclosed.

This Information Disclosure Statement is being submitted prior to receipt of a first Office Action on the merits. Moreover, since the PCT application designates other countries and since the search report was issued by the EPO, it will be noted that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of this Information Disclosure Statement.

It is respectfully requested that the enclosed documents be considered when the present application is taken up for examination. Favorable consideration of this application is respectfully requested.

Respectfully submitted,
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Date: March 26, 2002

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Enclosures: Copy of PCT Search Report (9 Sheets)
Form PTO-1449, and one copy of
each listed document
Acknowledgment Post Card